Effect of confinement potential shape on exchange interaction in coupled quantum dots

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Abstract

Exchange interaction has been studied for electrons in coupled quantum dots (QDs) by a configuration interaction method using confinement potentials with different profiles. The confinement potential has been parametrized by a two-centre power-exponential function, which allows us to investigate various types of QDs described by either soft or hard potentials of different ranges. For the soft (Gaussian) confinement potential the exchange energy decreases with increasing interdot distance due to the decreasing interdot tunnelling. For the hard (rectangular-like) confinement potential we have found a non-monotonic behaviour of the exchange interaction as a function of distance between the confinement potential centres. In this case, the exchange interaction energy exhibits a pronounced maximum for the confinement potential profile which corresponds to the nanostructure composed of the small inner QD with a deep potential well embedded in the large outer QD with a shallow potential well. This effect results from the strong localization of electrons in the inner QD, which leads to the large singlet–triplet splitting. Implications of this finding for quantum logic operations have been discussed.

1. Introduction

An exchange interaction between electrons localized in coupled quantum dots (QDs) is a very promising tool for manipulating qubits in semiconductor nanodevices [1]. This interaction can change the spin of the electron, which allows us to perform the quantum logic operations with spin qubits [1–5]. Recently, the exchange interaction induced spin swap operations in coupled QDs have been simulated by a direct solution of a time-dependent Schrödinger equation [6]. The quantum logic operations can be performed in laterally coupled QDs [7–9] and quantum wire QD systems [10, 11]. The conditions for the realization of logic operations with qubits in QDs are determined by the properties of electron states in QD nanostructures. The electron states of the laterally coupled QDs have been studied theoretically in [12–22]. In electrostatically gated QDs [7], the properties of the electron quantum states can be tuned by changing the external voltages applied to the gates [23].

In order to perform high-fidelity quantum logic operations with spin qubits, the exchange interaction should possibly be strong. The exchange interaction energy, defined as the difference between the lowest triplet and singlet energy levels, depends on the localization of electrons in the QDs. In general, the stronger the electron localization the stronger the exchange coupling. The electron localization is determined by the profile of the potential confining the electrons within the QDs. Therefore, the exchange interaction depends on the shape and range of the confinement potential. Usually, the confinement potential in coupled QDs is modelled by the two-centre parabolic [14, 21] or Gaussian [16, 17] potential.

In the present paper, we propose the two-centre power-exponential (PE) potential [24], which allows us to study a broad class of confinement potentials with different shapes. The one-centre PE potential [24] is very well suited for a description of the electrostatic QDs [23, 25]. It has the form

\[
V(r) = -V_0 \exp\left[-(r/R)^p\right],
\]

where \(r\) is the distance of the electron from the potential well centre \((r > 0)\), \(V_0\) is the depth of the potential well, and \(R\) is the range of the potential, which determines the QD size.
Potential (1) possesses the rotational symmetry for arbitrary real \( p \), which for computational convenience is taken as \( p \geq 2 \) and integer. Parameter \( p \) describes ‘a softness’ of the potential, i.e. a smoothness of the QD boundaries. If \( p \approx 2 \) the potential is ‘soft’, and if \( p \geq 4 \) the potential is ‘hard’, i.e. it possesses walls with great steepness. Parameter \( p \) can be used to describe a different steepness of the QD boundaries. Therefore, the PE potential (1) can be applied to modelling the electrostatically gated QDs [7, 23] and self-assembled QDs with compositional modulation [26]. The influence of the smoothed interfaces on the electronic and optical properties of GaAs/Al\(_x\)Ga\(_{1-x}\)As QDs has been studied in a recent paper [27].

The exchange interaction can be controlled by internal nanostructure parameters, e.g. the size and geometry of the coupled QDs, and external electric and magnetic fields [16, 17, 20]. It has been shown [16] that the asymmetry of QDs leads to the increase of this interaction. Recently, the size effects in the exchange coupling have been studied for quantum wire QD systems [11].

In the present paper, we investigate the influence of the shape and range of the confinement potential on the exchange interaction in laterally coupled QDs using the two-centre PE potential. The paper is organized as follows: a theoretical model is presented in section 2, the calculation methods and results are given in section 3, section 4 includes the discussion, and section 5 includes the conclusions and summary.

2. Theory

We study the system of two electrons confined in laterally coupled QDs with identical confinement potentials. The geometry of the nanostructure, which consists of two separated QDs, is illustrated in figure 1. We describe each QD by a two-dimensional (2D) rotationally symmetric potential well centred at positions \( \pm a \), where \( a = (d/2, 0) \) and \( d \) is the distance between the centres of the potential wells.

In the effective mass approximation the Hamiltonian of the system reads

\[
H = h_1 + h_2 + \frac{e^2}{4\pi \varepsilon_0 \varepsilon_s \rho_{12}},
\]

where \( h_j (j = 1, 2) \) is the one-electron Hamiltonian, \( \varepsilon_0 \) is the electric permittivity of the vacuum, \( \varepsilon_s \) is the static relative electric permittivity, \( \rho_{12} = |r_1 - r_2| \) is the electron–electron distance, and \( r_j = (x_j, y_j) \) denote the electron position vectors. The one-electron Hamiltonian has the form

\[
h_j = -\frac{\hbar^2}{2m_0} \nabla_j^2 + V(r_j),
\]

where \( m_0 \) is the electron effective band mass and \( V(r_j) \) is the confinement potential. We assume that the effective mass and the static electric permittivity do not change across the QD boundaries. This assumption is well justified for electrostatic QDs based on GaAs [23]. For coupled QDs the confinement potential is taken as a sum of PE potentials (equation (1)) centred at \( \pm a \). In the explicit form,

\[
V(r) = -V_0 \left[ \exp[-(r_A/R)^p] + \exp[-(r_B/R)^p] \right].
\]

where \( r_A = |r + a| \) and \( r_B = |r - a| \).

Formula (4) defines a broad class of two-centre confinement potentials with different shapes. For a fixed potential well depth \( V_0 \) and range \( R \), parameter \( p \) characterizes the softness (hardness) of the confinement potential. The smaller (larger) \( p \) is, the softer (harder) the potential. For \( p = 2 \) the confinement potential has Gaussian shape. This potential is soft, i.e. the potential walls at the QD boundaries have a fairly small steepness and are partially penetrable for the electron. For \( p \geq 4 \) the confinement potential becomes hard, i.e. the potential wells at the QD boundaries are steep. For \( p \geq 10 \) we deal with a very hard, rectangular-type confinement potential. Figure 2 shows the different profiles of the two-centre confinement potential (equation (4)). In figure 2 and throughout the present paper we are using the donor Rydberg \( R_D = m_0 e^4/(32\pi^2 \varepsilon_0 \varepsilon_s^2 \hbar^2) \) as the unit of energy and the donor Bohr radius \( a_D = 4\pi \varepsilon_0 \hbar^2/(m_e e^2) \) as the unit of length. For GaAs, \( R_D \simeq 6 \text{ meV} \) and \( a_D \simeq 10 \text{ nm} \). We note the essential difference in potential profiles between the soft (\( p = 2 \)) and hard (\( p = 10 \)) confinement potentials for intermediate distances \( d \), i.e. for \( d \simeq 3a_D \) in figure 2. In the case of a soft confinement potential, the resulting two-centre potential changes smoothly with increasing \( d \) from the single to the double potential well. In this case, the increasing intercentre distance \( d \) leads first to an increase in the potential well size and next to formation of the potential barrier for \( d \geq 3a_D \). If the confinement potential is hard, then—for intermediate intercentre distances \( d \)—we obtain a narrow deep potential well surrounded by fairly wide potential steps, on which the potential is flat (cf figures 2(b), (e)). This shape of confinement potential corresponds to a core–shell QD nanostructure, which was realized in CdTe/CdS self-assembled QDs [28]. In this case, we deal with a compound QD nanostructure, which consists of a small inner QD embedded in a larger outer QD.

3. Results

We are mainly interested in the influence of the shape of confinement potential, in particular its softness, on the electronic properties of coupled QDs. Therefore, we have
Figure 2. Confinement potential profile for different distances $d$ between the potential well centres as a function of coordinate $x$. Solid curves correspond to the hard confinement potential ($p = 10$); dashed curves correspond to the soft confinement potential ($p = 2$). The plots are for $V_0 = 25R_D$ and $R = 2a_D$. The calculations were performed for a fixed depth $V_0 = 25R_D$ and range $R = 2a_D$ (except otherwise specified). These values of parameters correspond to laterally coupled GaAs QDs [9].

3.1. One-electron problem

The one-electron eigenvalue problem for the one-centre confinement potential has been solved for the Gaussian potential in [29] and for the PE potential in [24]. In the present paper, we consider one-electron bound states for the two-centre confinement potential (4). We have solved the eigenvalue problem for Hamiltonian (3) by the imaginary time step method [30] applying the finite-difference approximation of Hamiltonian (3) on the 2D grid with a $101 \times 101$ mesh. In this case, the accuracy of the method [30] is high enough to treat the obtained numerical solutions as exact.

Figure 3 shows the results for the six lowest-energy levels, which correspond to the one-electron states used for the construction of two-electron configurations. Figures 3(a) and (b) display the results for the soft ($p = 2$) and hard ($p = 10$) confinement potentials, respectively. For $d = 0$, we deal with a single QD with a potential well of double depth ($2V_0$), while for large $d$ the QDs are separated by a potential barrier. For intermediate $d$, the superposition of hard-wall potentials corresponds to the inner–outer QD nanostructure (cf figures 2(b) and (c)). These properties of the confinement potentials affect the one-electron states (figure 3). The one-electron energy levels are monotonically increasing functions of interdot distance $d$. For $d = 0$, the excited-state energy levels exhibit a degeneracy, which is removed for $d > 0$. We note that for intermediate values of $d$, i.e. for $d \approx 3a_D$, the energy levels quickly increase with increasing $d$. For large $d$, we obtain only two degenerate energy levels, which correspond to the electron bound in the single QD.

Figure 3. Lowest-energy levels $E_0, \ldots, E_5$ of the single electron confined in coupled QDs as functions of distance $d$ between the confinement potential centres for (a) a soft confinement potential with $p = 2$ and (b) a hard confinement potential with $p = 10$. The dependence of the ground-state energy $E_0$ on the softness parameter $p$ is depicted in figure 4. If the confinement
potential is harder, i.e. for large $p$, the ground-state energy takes on the lower values, which means that the electron is more strongly bound due to the larger quantum ‘capacity’ of the QD.

### 3.2. Two-electron problem

Two electrons confined in coupled QDs form molecular-like states called artificial molecules [12, 13]. We solve the two-electron eigenvalue problem by an exact diagonalization, also called the configuration interaction (CI) method [13], using the one-electron numerical solutions obtained in the previous subsection. Augmenting the calculated spatial wavefunctions by the eigenfunctions of the $z$ component of the electron spin, we obtain the one-electron spin–orbitals $\psi_{\nu}\sigma(r)$, where $\nu$ and $\sigma$ are the orbital and spin quantum numbers, respectively. Next, we construct Slater determinants

$$
\chi_n(r_1, r_2) = A[\psi_{\nu_1}\sigma_1(r_1)\psi_{\nu_2}\sigma_2(r_2)],
$$

(5)

where $A$ is the antisymmetrization operator and $n$ labels different two-electron configurations with well-defined total spin. According to the CI method, the two-electron wavefunction is a linear combination of Slater determinants (5):

$$
\Psi(r_1, r_2) = \sum_n c_n \chi_n(r_1, r_2).
$$

(6)

In the present calculations, we have used 15 Slater determinants, which were constructed from the six lowest-energy one-electron states. We have checked that the inclusion of 20 Slater determinants in expansion (6) improves the results only slightly, but the computation time increases considerably. The two-electron Hamiltonian (2) has been diagonalized in basis (6). All the matrix elements, including the electron-electron interaction energy, have been calculated numerically on the 2D grid defined for the one-electron problem. We have found that the configuration built from one-electron ground states (with orbital momentum $l = 0$) provides the largest contribution to the lowest-energy singlet, while the configuration consisting of the one-electron ground state and the first excited state with $l = 1$ dominates in the lowest-energy triplet.

The results for the lowest-energy levels of the singlet ($E_S$) and triplet ($E_T$) states are displayed in figure 5, which also shows the exchange interaction energy $E_X$ energy defined as

$$
E_X = E_T - E_S.
$$

(7)

The energy of the singlet and triplet states increases monotonically with increasing distance $d$ between the confinement potential centres. Therefore, the binding energy, defined as $E_B = -E_{TS}$, of both states is a decreasing function of the interdot separation, i.e. the two-electron states are more weakly bound for more separated QDs. Figure 5 shows the different behaviour of the exchange energy for the soft and hard confinement potentials. If the confinement potential is soft (cf figure 5(a) for $p = 2$), the exchange energy decreases with increasing intercentre distance and for large $d$ it is negligibly small. This behaviour results from the decreasing interdot tunnelling with increasing distance between the QD centres. If the confinement potential is hard (cf figure 5(b) for $p = 10$), the exchange energy increases with intercentre distance (for small $d$), exhibits a pronounced maximum for $d \approx 3.3a_0$ (for $p = 10$), and then falls rapidly down to zero for large $d$. We shall discuss the origin of this behaviour in the next subsection.

Figure 6 shows the dependence of the exchange energy on intercentre distance $d$ and softness $p$ of the confinement...
potential. We see that the maximum of the exchange energy is more pronounced if parameter $p$ is large, i.e. the confinement potential is hard. Moreover, the maximal value of the exchange energy is larger if the confinement potential is harder.

### 3.3. Electron density distribution

In order to explain the different behaviour of the exchange energy for the soft and hard confinement potentials, we have calculated the one-electron probability density

$$\rho_1(r) = \sum_{i=1}^{2} \int d^2r_1 d^2r_2 \psi^*(r_1, r_2) \delta(r - r_i) \psi(r_1, r_2)$$

(8)

and displayed it in figures 7–9.

The properties of the electron density are determined by the shape of the confinement potential, which is different in the $x$ and $y$ directions. The $x$-dependence of the one-electron probability density is shown in figure 7. For $d \lesssim 3.2a_D$ the electrons are localized in the single potential well. The two maxima for $d = 0$ result from the Pauli exclusion principle (cf also figure 9). For larger interdot separation (cf figure 7 for $d = 4.2a_D$) the electrons are localized with equal probabilities in the two quantum wells separated by the energy barrier. At intermediate distances, i.e. for $d \simeq 3a_D$, the confinement potential profile corresponds to the core–shell QD nanostructure with inner and outer QDs (cf figure 7 for $d = 2.8a_D$ and $3.2a_D$). In this case, the electrons are mainly localized within the inner QD with a deep potential well. Figure 7 shows that—for all interdot distances—the electron localization in the singlet state is stronger than in the triplet state. In particular, we observe that—for $d = 3.2a_D$—the triplet electron density is fairly large in the outer QD region.

Figure 8 displays the shape of the confinement potential and the one-electron probability density as functions of $y$ for $x = 0$. For $d = 2.8a_D$ we observe the essential qualitative difference in the $y$-dependent electron distribution between the singlet and triplet states. In the singlet state, the electron distribution in the $y$-direction is similar to that in the $x$-direction. However, in the triplet state, the electron density distribution is more spread out and exhibits two pronounced maxima separated by a minimum at $y = 0$. For sufficiently large interdot distances ($d > 3a_D$), the electron density distributions for the singlet and triplet states are again very similar.

A comparison of figures 7 and 8 for $d < 3a_D$ allows us to extract information about the distribution of the electrons in the triplet state. Electrons with the same spin are strongly localized within the inner QD in the $x$-direction (figure 7), but are more weakly localized in the $y$-direction (figure 8). Weaker localization of the electrons in the $y$-direction results from the larger effective range of the potential well in this direction (cf dashed curves in figures 7 and 8). Figures 7 and 8 show the reasons for the existence of the maximum exchange interaction for the hard confinement potential. We see that the increase in the exchange coupling is caused by the strong electron localization in the inner QD and the considerable difference in the electron density distributions for the singlet and triplet states. These effects lead to the increase in the triplet–singlet energy difference, which in turn results in the formation of the pronounced maximum of exchange energy at intermediate distances between the confinement potential centres (cf figure 6).
functions of $y$ (right-hand panel) states. means that the corresponding contour in figure 9 can be rotated electron probability density also possesses this symmetry. This rotational symmetry of the QD confinement potential, the two maxima (cf figures 7 and 9 for the single QD, the one-electron probability density exhibits arrows show schematically the sites with the largest electron confinement potential ($\text{triplet}$ energy. A slight perturbation of the circular symmetry $x$ and the triplet density distribution becomes more spread out in figure 9). The plots for Figure 8. One-electron probability density $p_1$ (solid curves) and confinement potential $V$ (dashed curves) for $p = 4$ and $R = 2a_0$, as functions of $y$ for $x = 0$ for the singlet (left-hand panel) and triplet (right-hand panel) states.

These effects are additionally illustrated in figure 9, which displays the contour plots of the one-electron density distribution and confinement potential on the $x$–$y$ plane. The arrows show schematically the sites with the largest electron density for the singlet and triplet states. For the triplet in the single QD, the one-electron probability density exhibits two maxima (cf figures 7 and 9 for $d = 0$). Due to the rotational symmetry of the QD confinement potential, the electron probability density also possesses this symmetry. This means that the corresponding contour in figure 9 can be rotated by an arbitrary angle in the $x$–$y$ plane without changing the triplet energy. A slight perturbation of the circular symmetry ($d \neq 0$) leads to a localization of electrons with the same spin around different sites (cf the plot for a triplet for $d = 2.8a_0$ in figure 9). The plots for $d = 2.8a_0$ correspond to the maximum in the exchange energy for $p = 4$. The triplet electron density distribution is anisotropic on the $x$–$y$ plane. For small $d$, electrons with the same spin are aligned in the $y$-direction. This configuration changes rapidly for $d \approx 3a_0$ and the triplet density distribution becomes more spread out in the $x$-direction (cf figure 9 for $d = 3.2a_0$). Figures 7–9 show that—for large interdot distances—the electron density distribution is the same in the singlet and triplet, which leads to the singlet–triplet degeneracy, i.e. the exchange energy tends to zero for large $d$.

Figure 10 displays the dependence of the maximum exchange energy $E_X^{\text{max}}$ (cf figure 6) on the parameter $p$ and range $R$ of the confinement potential. The maximum exchange energy increases with increasing parameter $p$, i.e. increasing hardness of the confinement potential, and is largest for a rectangular-like potential well. However, the maximum triplet–singlet splitting decreases quickly with increasing range $R$, i.e. increasing QD size. The $R$-dependence of $E_X^{\text{max}}$ allows us to determine the size effect in the exchange interaction [11]. This dependence can be parametrized as follows:

$$E_X^{\text{max}}(R) = a/R + b,$$

where $a = 9.975$ and $b = -0.073$ (in donor units) for the nanostructure parameters $V_0 = 25R_D$, $R = 2a_0$, and $p = 4$.

For fixed parameters $p$ and $V_0$ the maximum exchange energy depends not only on $R$, but also on distance $d$ between the centres of the confinement potential. Therefore, the intercentre distance $d = d^{\text{max}}$, which corresponds to the maximum in the exchange energy, changes along the curves $E_X^{\text{max}}(R)$ and $E_X^{\text{max}}(p)$ in figure 10. The dependence of $d^{\text{max}}$ on the parameters $R$ and $p$ is plotted in figure 11. It appears that the intercentre distance $d^{\text{max}}$ is a linear function of the
confinement potential range $R$. Figures 10 and 11 allow us to determine the parameters of the QD nanostructure, for which the exchange energy is maximal. As we have pointed out, these parameters correspond to the inner–outer QD nanostructure (cf figures 2 and 6). For coupled QDs separated by a potential barrier, the exchange energy is considerably smaller.

4. Discussion

The parametrization of the confinement potential given in equation (4) is sufficiently flexible to model various types of QDs, among them the electrostatically gated QDs [7] and self-assembled QDs with compositional modulation [26]. Using the confinement potential (4) we can describe the effects of smoothness of the QD boundaries [27]. For the intermediate separations $d$ between the potential well centres ($d \simeq 3a_0$ for $R \simeq 2a_0$) the resulting potential profile corresponds to a core–shell QD nanostructure with an attractive core potential well. This profile of the confinement potential is characteristic for a compound QD nanostructure with inner and outer QDs, which has been realized recently by the chemical growth of self-assembled CdTe/CdS QDs [28]. An inner–outer QD nanostructure can also be realized in laterally coupled electrostatically gated QDs [7]. The corresponding profile of the confinement potential can be obtained by applying suitably tuned external voltages to the two pairs of different gates. When varying the external voltages, we can tune the shape of the confinement potential, which in turn leads to a desirable change in the exchange interaction.

We have shown that the exchange energy is maximal for the compound QDs, which consists of an inner QD with a deep potential well and an outer QD with a shallow potential well. The maximum in the exchange energy is caused by the strong electron localization in the inner QD and the large difference in the electron localization between the singlet and triplet states (cf figures 7–9). This result gives us the possibility of designing the nanostructures, in which the exchange interaction is sufficiently large to be used in quantum logic gates [6]. A strong exchange interaction is very important for performing high-fidelity quantum logic operations with spin qubits in QDs [1–3, 6]. The recent study [6] of the exchange interaction induced spin swap operation shows that swapping the electron spins is very effective, i.e. the electron spins are fully interchanged in the shortest possible time, if the confinement potential changes from double potential wells separated by a barrier to a deep potential well located inside a shallow potential well. The latter potential profile corresponds to an inner–outer QD nanostructure (cf figures 2(b), (c)).

The exchange interaction in the coupled QDs can also be tuned by applying external magnetic [16, 17, 20] and electric [8] fields. An increasing magnetic field causes a decrease in the exchange interaction energy, since it lowers the energy of the triplet state and leads to a singlet–triplet degeneracy for high magnetic fields [16, 17]. The rapid changes in the external electric field enabled Petta et al [8] to perform a coherent manipulation of spin qubits in laterally coupled QDs. Szfafran et al [16] showed that the asymmetry of the QDs increases the exchange energy considerably. The size effects in the exchange coupling were studied by Zhang et al [11]. The increasing size of the QD nanostructure leads to a decrease in the exchange interaction due to the decreasing interdot tunnelling [11]. Using the present results, we can also determine the size effect in the exchange coupling. In particular, figure 10 shows that the exchange energy scales as $\sim 1/R$ with the increasing size $R$ of the QDs.

5. Conclusions and summary

In the present paper, we have studied the lowest-energy singlet and triplet two-electron states in laterally coupled QDs and
determined the exchange interaction between the electrons. The application of the two-centre PE function parametrization (equation (4)) enabled us to investigate a large class of realistic confinement potentials with different shapes. We focus on the dependence of the exchange energy on the distance between the confinement potential centres and also on the shape and range of this potential. The dependence of the exchange energy on the intercentre distance is qualitatively different for the soft ($p = 2$) and hard ($p \geq 4$) confinement potentials. For $p = 2$ the exchange energy is a monotonically decreasing function of $d$, while for $p \geq 4$ the exchange energy increases with $d$ for small $d$, reaches a maximum for intermediate $d$, and then decreases to zero for large interdot separations. This knowledge allows us to predict the nanostructure parameters, in particular their size and geometry, which maximize the exchange energy.

In summary, we have found that the exchange energy is maximal for the confinement potential which corresponds to a compound QD nanostructure, consisting of an inner QD with a deep potential well embedded in an outer QD with a shallow potential well. The corresponding core–shell confinement potential can be obtained in the form of an inner–outer QD nanostructure realized in self-assembled QDs and electrostatically gated QDs. We have also investigated tuning of the exchange interaction by changing the parameters of the coupled QD nanostructure, and pointed out the importance of the present study for quantum logic operations with electron spins.

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References